



CFIUS Scorecard

*US-China Transactions
Jan 2014 – September 2018*

CFIUS-CHINA SCORECARD

Post-Trump deals (Jan 2017 - date)

Sector	Cleared	Failed	Pending	Total
Semiconductors	2	3	1	6
Other technology	6	5	0	11
Media	2	1	1	4
Financial	4	3	0	7
Pharma	2	0	1	3
Real Estate	1	1	0	2
Energy	0	2	0	2
Other	2	3	1	6
Total	19	18	4	41

Status	Count	Rate
Cleared	19	
Failed	18	
Post-closing div.	1	
Total actions	37	
Clearance rate		51%

Sector	Cleared	Failed
Semiconductors	40%	60%
Other technology	55%	45%
Media	67%	33%
Financial	57%	43%
Pharma	100%	0%
Real Estate	50%	50%
Energy	0%	100%
Other	40%	60%

Pre-Trump deals (2014-2016)

Sector	Cleared	Failed	Pending	Total
Semiconductors	10	2	0	12
Other technology	32	2	0	34
Media	3	0	0	3
Financial	6	0	0	6
Pharma	3	0	0	3
Real Estate	21	0	0	21
Energy	6	0	0	6
Other	18	0	0	18
Total	99	4	0	103

Status	Count	Rate
Cleared	99	
Failed	4	
Pending		
Total actions	103	
Clearance rate		96%

Sector	Cleared	Failed
Semiconductors	83%	17%
Other technology	94%	6%
Media	100%	0%
Financial	100%	0%
Pharma	100%	0%
Real Estate	100%	0%
Energy	100%	0%
Other	100%	0%

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CFIUS-CHINA SCORECARD - 2014-2018

Date: The "date" column uses the most recent date on which a CFIUS-related event occurred

Terms: "Cleared" includes deals that were before CFIUS and later closed; "Failed" includes deals withdrawn before final rejection

Date	PRC Buyer	Target	Industry	\$M	CFIUS	Comments
2018-09	Harbin Pharmaceutical Group Holdings Co.	GNC, Inc.	Other: US health and wellness products	300	Cleared	Deal announced Feb 2018. CFIUS cleared Sept 2018
2018-08	Shenzhen Energy Corp.	Recurrent Energy, a part of Canadian Solar, Inc.	Energy - solar power plants	232	Failed	Deal announced Oct 2017. Power plants are in CA - Rosamond, Tranquillity and Lemoore. Reports in Aug 2018 that CFIUS failed to obtain clearance.
2018-08	HNA Group	850 Third Avenue	Real estate		Failed	Majority stake in bldg ownership acquired before Trump election, apparently without a CFIUS filing. In mid-2018 CFIUS apparently ordered divestiture b/c a police substation covering Trump Tower is in the bldg
2018-07	China Grand Pharmaceutical	Sirtex Medical (Australia)	Pharma	1400	Pending	Deal reported in July 2018. CFIUS clearance not a condition to closing. Target is publicly traded in Australia
2018-07	COSCO Shipping Holdings Co., Ltd.	Orient Overseas (Int'l) Ltd.	Other - shipping	6300	Cleared	Deal announced June 2016. CFIUS review pending as of Jan 2018. April 2018 reports that the parties are still talking with CFIUS. July 2018 cleared with COSCO agreement to dispose of Long Beach Terminal
2018-06	China Oceanwide Holdings Group Co., Ltd.	Genworth Financial	Financial - insurance	2700	Cleared	Parties withdrew and re-filed several times, including in April 2017, Feb 2018 and April 2018. On June 9, 2018, CFIUS approved the purchase finally
2018-05	China National Heavy Duty Truck Group	UQM Technologies, Inc.	Technology - electric motors	5	Failed	"Stage II" closing not cleared; that portion abandoned May 2018. Stage I closing cleared; see below
2018-05	HNA Group	Skybridge Capital II LLC	Financial	200	Failed	Sale of majority stake; this is Anthony Scaramucci's firm. Reuters reported in Jan 2018 that CFIUS will not approve any HNA deals until it receives adequate information on its shareholders. April 2018 report that the deal is being withdrawn, although the parties stated CFIUS had offered mitigation possibilities
2018-04	Zhejiang VIE Science & Technology Co.	Evatran Group, Inc.	Technology - wireless charging stations for electric vehicles		Failed	Deal signed in November 2017. April 2018 reports that the parties had abandoned the deal. There is an existing joint venture between the parties
2018-04	Zhongyuan Xiehe Investment Management Co., Ltd.	OriGene Technologies, Inc.	Pharma	36.6 (185)	Cleared	Zhongyuan Xiehe set up a CNY2.1-billion fund in February 2015 and tried to take over OriGene for almost CNY1.9 billion in September that year. However, the deal fell through last July when the parties couldn't agree on terms. In April 2018, CFIUS approved Zhongyuan's second-time purchase
2018-03	Beijing Dabeinong Technology Group Co	Waldo Farms, Inc.	Other - pig breeding		Failed	Deal signed March 2017
2018-02	BlueFocus International Limited	Cogint Inc.	Technology - IT	100	Failed	Withdrawn Feb 2018, reportedly because of inability to obtain CFIUS clearance
2018-02	Unic Capital Management Co., Ltd; China Integrated Circuit Industry Investment Fund Co., Ltd.	Xcerra Corporation	Semiconductor	580	Failed	Withdrawn in Dec 2017. Press release that deal was being abandoned in Feb 2018. Reuters reported CFIUS blocked the deal (Feb 2018). Cowen may have been the financial advisor. Concerns were over participation in supply chain to USG and military, per Reuters
2018-02	SDIC Fund Management	Maxwell Technologies	Energy	47	Failed	Abandoned Feb 2018
2018-01	SOE	US real estate company	Real estate	2000	Cleared	Cleared within 75-day period
2018-01	GSR Capital	Automotive Energy Supply Corp. (Nissan car battery operations)	Technology - electric car batteries	1000	Cleared	News reports announced deal; not clear if it proceeded. Jan 2018 reports suggest the deal closed
2018-01	Naura Microelectronics	Akrion Systems LLC	Semiconductor	15	Cleared	Cleared January 2018
2018-01	Creat Group Corporation (China)	Biotest AG	Pharma		Cleared	Cleared January 2018

Date	PRC Buyer	Target	Industry	\$M	CFIUS	Comments
2018-01	HNA Innovation Finance Group Co.	Glencore	Other - commodities storage and logistics	775	Pending	Non-US portions of deal closed; CFIUS filing reportedly made in Jan 2018. HNA may be blacklisted
2018-01	Ant Financial	Moneygram	Financial	800	Failed	PII and proximity issues
2018-01	General International Holdings, Inc.	Lightbridge Corporation	Energy - nuclear	3	Pending	Minority investment. According to SEC filings, in April 2017, General International had raised its holdings to 9.8%. Lightbridge's 8-K reflects a Securities Purchase Agreement dated Jan 2018 to buy non-voting shares, pending approval by CFIUS (https://seekingalpha.com/filing/3835447)
2018-01	Zhengzhou Coal Mining Machinery Group Co., Ltd.	Robert Bosch Motors Generators LLC	Technology		Cleared	Cleared early 2018
2017-12	T.C.L. Industries Holdings (Hong Kong)	Novatel Wireless, Inc. / Inseego	Technology - wireless communications	50	Failed	
2017-12	TTM Technologies, Inc.	Anaren Holding Corp.	Semiconductor		Pending	Deal signed 12/1/17. Target is a key supplier to USG and has facilities clearances. Buyer is an offshore company with a 9.6% Chinese stockholder. Pending as of 2/12/18
2017-11	Jinzi Ham unit	Novabay Pharmaceuticals	Pharma	27	Abandoned	Less than 100% acquisition announced in late 2017. May be a 37% stake. Deal apparently abandoned because of "failure to obtain regulatory approval in time"
2017-11	Tencent Holdings, Ltd.	Snap, Inc.	Technology - social media		Unknown	Tencent acquired 12% of Snap, becoming one of its largest shareholders
2017-11	HNA Group; Pactera Technology International Limited	Ness Technologies; Jersey Holdings Corp.	Technology - software and IT services	325	Abandoned	Target sued buyer claiming lack of transparency in the CFIUS filing could not be resolved; deal terminated by target. The final CFIUS filing was in November 2017. Reuters reported Ness alleged that HNA did not pursue CFIUS with good faith
2017-11	Orient Hontai Capital	Aplovin	Technology - adtech	1400	Failed	Parties restructuring in a way that is intended to avoid CFIUS review
2017-11	Bison Capital (Hong Kong)	Cinedigm	Media	30	Cleared	Post-closing clearance
2017-11	HNA Group	OM Asset Management	Financial - insurance	446	Cleared	Acquiring 24.95% of England/Wales entity, which has US subsidiary. News reports indicate the deal closed in November 2017
2017-11	China Energy Company Limited	Cowen, Inc.	Financial	100	Failed	Withdrawn in Nov 2017
2017-10	SF Motors, Inc. (unit of Chongqing Sokon Industry Group Co., Ltd.)	InEVit, Inc.	Technology - EV and battery tech	33	Unknown	Not known whether CFIUS application was filed
2017-10	NetPosa Technologies (Chongqing) Ltd.	Arecont Vision, LLC	Technology - surveillance cameras		Cleared	According to reliable sources, CFIUS was about to clear the transaction when NetPosa allegedly backed out; target has sued
2017-09	Beijing Jianguang Asset Management Co., Ltd. (China)	RJR Technologies, Inc.	Technology - electronics packaging		Unknown	Announcement of joint venture in September 2017; parties stated they planned to make a CFIUS filing; not clear if a filing was ever made
2017-09	China National Heavy Duty Truck Group	UQM Technologies, Inc.	Technology - electric motors	5	Cleared	"Stage II" closing not cleared; that portion abandoned May 2018
2017-09	NavInfo; Tencent; GIC	HERE	Technology - mapping Services		Failed	Deal abandoned Sept 2017
2017-09	Canyon Bridge Capital Partners (Limited partners mainly from China Reform Fund)	Lattice Semiconductor	Semiconductor	1300	Failed	Probably due to lack of transparency. Case study info at PF10255
2017-08	Zhongwang USA LLC	Aleris Corporation	Other - aluminum	2330	Failed	Withdrawn in Aug 2017, per Bloomberg, but parties are still talking
2017-08	Ant Financial Services Group	EyeVerify	Financial		Cleared	Cleared August 2017
2017-05	HNA Group (via affiliate, Beijing Shareco Technologies Co., Ltd.)	Global Eagle Media-Entertainment	Media	416	Failed	Deal abandoned in July 2017

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2017-04	Automated Systems Holding Limited (ASL) (affiliate of Beijing Teamsun)	Grid Dynamics International	Technology - IT	118	Cleared	Completion announced April 2017
2017-04	Avolon (Owned by Bohai Capital, a fund controlled by HNA Group)	CIT Group, Inc.	Financial - aircraft leasing	10,000	Cleared	Deal closed in April 2017
2017-04	SAIC Acquisition (subsidiary of Xiamen Sanan Integrated Circuits)	Global Communications Semiconductors	Semiconductor	226	Failed	An April 2017 article says the deal was "recently" withdrawn, but parties say they are continuing to talk
2017-03	China Oceanwide Holdings Group Co., Ltd.; IDG Capital	International Data Group	Media		Cleared	Cleared and closed March 2017
2017-02	Consortium (MLS, Yiwu State-owned Assets Operation Center, IDG Capital Partners)	Osram Licht AG unit: LEDvance	Technology - LED lighting	442	Cleared	Cleared in approximately Feb 2017
2017-02	Beijing JianGuang Asset Management Co., Ltd. (China); Wise Road Capital LTD	Nexperia Holdco Netherlands	Semiconductor	2750	Cleared	Cleared Feb 2017
2017-02	Dalian Wanda Group Co., Ltd	Dick Clark Productions	Media	1000	Pending	Not clear whether the deal was abandoned due to CFIUS issues or PRC foreign exchange problems; the WSJ and Reuters in Feb 2017 said it was problems in Beijing but Wanda said the deal was not dead. CFIUS filing status unknown
2017-01 TRUMP INAUGURATION						
2017-00	Shanghai Construction Group	Long Beach Hyatt	Real estate		Unknown	Unknown whether CFIUS filing was made; local congressman asked for review
2017-00	Draper Athena	Atop Tech	Semiconductor		Unknown	Purchase out of bankruptcy; CFIUS was condition to approval of sale
2016-12	AMC (owned by Wanda)	Carmike Cinemas	Media - entertainment		Cleared	Closed December 2016
2016-12	Chinese consortium led by Chongqing Casin Enterprise Group	Chicago Stock Exchange	Financial		Cleared	CFIUS cleared deal in December 2016, but SEC blocked it in early 2018
2016-12	Suzhou Chunxing Precision Mechanical Co., Ltd	Calient Technologies	Technology - optical switching		Cleared	Cleared 12/16; closed late 2017
2016-12	Beijing Shanhai Capital Management Co., Ltd.	Analogix Semiconductor	Semiconductor	550	Cleared	Deal signed in Sept 2016. CFIUS clearance in December 2016. Closed in April 2017
2016-12	Spearhead Integrated Marketing Communication Group	Smaato	Technology - adtech	148	Cleared	Deal announced June 2016. Press reports indicate the deal closed
2016-12	Beijing E-Town Chipone Technology Co., Ltd.	Integrated Memory Logic Limited (division of Exar)	Semiconductor	136	Cleared	Cleared December 2016
2016-12	Anhui Xinke New Materials Co	Midnight Investments LP (Voltage Pictures LLC)	Media - entertainment	346	n/a	Deal announced Nov 2016. Scrapped in Dec 2016 because target allegedly did not provide necessary information to the buyer
2016-12	China Railroad Stock Corporation	Vertex Railcar Corporation	Other - transportation		Cleared	Cleared Dec 2016
2016-12	Chongqin Casin Enterprise Group (CCEG)	Chicago Stock Exchange	Financial		Cleared	Cleared CFIUS in Dec 2016. On February 15, 2018, the FT reported that the SEC "has denied approval for the takeover of the Chicago Stock Exchange by a Chinese-led consortium in the latest move to block Chinese acquisitions of US assets"
2016-11	Fujian Grand Chip Investment Fund	Aixtron SE	Semiconductor	729	Failed	President Obama ordered the deal blocked. See case study info at PF10267
2016-11	LeEco V. Ltd. (Subsidiary of LeEco Global Holding Ltd)	Vizio Inc.	Other - consumer	2250	Unknown	Deal abandoned; news reports suggest regulatory issues were to blame. However, an exhibit indicated that "the conclusion of CFIUS' review and investigation [was] on November 5, 2016 . . ." (Id. at p. 41)

Date	PRC Buyer	Target	Industry	\$M	CFIUS	Comments
2016-11	Tianjin Tianhai Investment Company, Ltd. (Controlled by HNA Group)	Ingram Micro	Other - distribution	6000	Cleared	Filed after closing; cleared Nov 2016
2016-11	Cosmic Forward Limited	Professional Diversity Network, Inc.	Technology - software	21	Cleared	
2016-10	Anbang Insurance Group Co.	Blackstone (25% stake in Hilton Hotels)	Real estate	6490	Cleared	"On October 21, however, news reports indicated that only 15 of the 16 properties were acquired in September 2016 because one of the properties, Hotel del Coronado, raised issues with CFIUS because it is located near the Southern California Naval Base Coronado."
2016-10	China Consortium (Qihoo 360 Technology and Beijing Kunlun Tech)	Opera Software	Technology - adtech	575	Cleared	Cleared CFIUS in October 2016 though reports indicate the acquisition of the company as a whole faced regulatory challenges
2016-10	JAC Capital	NXP	Semiconductor	2750	Cleared	Press release Oct 2016
2016-10	Fosun International Limited (SEHK:656)	Ironshore Inc.	Financial	1840	Abandoned	According to Reuters (https://www.reuters.com/article/us-usa-china-m-a-insight/u-s-watchdog-expands-scrutiny-to-more-chinese-deals-idUSKCN12B0C4) in Oct 2016, Fosun agreed to divest Wright, a US subsidiary, in order to gain CFIUS approval. Fosun sold Ironshore Inc. to Liberty Mutual thereby not having to seek CFIUS clearance any longer
2016-10	Apex Technology Co., Ltd.	Lexmark International Inc.	Technology - enterprise hardware/software	3900 (3600)	Cleared	Mitigation steps agreed to
2016-10	HNA Tourism Group	Hilton Worldwide Holdings	Real estate	6500	Cleared	
2016-09	Anbang Insurance Group Co.	Strategic Hotels & Resorts (owned by Blackstone)	Real estate	6500	Cleared	With mitigation. Hotels based next to military installations
2016-09	ON Semiconductor	Fairchild Semiconductor	Semiconductor	2500	Cleared	Transaction completed in September 2016
2016-08	Sanan Optoelectronics	GCS (Taiwan)	Semiconductor		Abandoned	Apparently Taiwanese semi target had contracts with US military
2016-08	ChemChina	Syngenta	Other - chemical	4300 (43000)	Cleared	Mitigation measures may have been agreed to
2016-07	Suzhou Dongshan Precision Manufacturing Co. Ltd	Multi-Fineline Electronix	Technology - electronics component manufacturing	610	Cleared	Completed in July 2016
2016-06	Qingdao Haier Co.	GE Appliance division	Other - consumer	5600	Cleared	Forbes reported the deal in late 2016
2016-05	Beijing e-Town	Matson Technologies	Semiconductor	300	Cleared	
2016-04	Shandong Oriental Ocean Sci-Tech Co., Ltd. (SZSE:002086)	Avioq, Inc..	Technology - gene test	68.3	Unknown	April 18, 2016. Oriental Ocean Sci-tech Co., Ltd. of Shandong Province (OOST), China, has acquired US medical diagnostics firm Avioq, Inc. through a 100% purchase of shares and assumption of outstanding liabilities
2016-03	Anbang Insurance Group Co.	Fidelity & Guaranty Life (FGL) FLG.N	Financial - insurance	1600	Cleared	Deal signed Nov 2015. Transaction cleared CFIUS but was abandoned after inability to obtain state regulatory clearances
2016-03	Anbang Insurance-led consortium	Starwood Hotels	Real estate	12800	n/a	Abandoned; no CFIUS filing appears to have been made
2016-03	Fujian Thai Hot Investment	Alliance Healthcare Services	Pharma	103	Cleared	Completed March 2016
2016-02	Unisplendour Corp. (Tingshua University)	Western Digital	Technology - electronics	3800	Failed	Withdrawn; buyer terminated after CFIUS announced it would go to 45-day review. NB this was a minority (15%) investment. However, "In September 2016 Western Digital and Tsinghua Unisplendour announced they had formed a China-based joint venture with Tsinghua as the majority shareholder" (https://qz.com/1077186/lattice-lscc-china-is-stumbling-hard-at-acquiring-the-high-tech-chip-companies-it-wants-so-badly/)

Date	PRC Buyer	Target	Industry	\$M	CFIUS	Comments
2016-02	China resources Microelectronics (CRM) and Hua Capital Mangement (HCM)	Fairchild Semiconductor	Semiconductor	2460		Seller declined PRC overbid, citing CFIUS concerns. Fairchild moving ahead with US-based ON Semiconductor
2016-02	Tsinghua Holdings (Tsinghua University)	Western Digital	Technology - electronics	3800	Abandoned	Tsinghua terminated the plan after CFIUS said they would investigate the investment
2016-01	GSR Capital; Nanchang Industrial Group	Philips Lumiled	Semiconductor	3300	Failed	Blocked in Jan 2016
2016-01	Dalian Wanda Group Co. Ltd.	US Legendary Media-Entertainment	Media - entertainment	3500	Cleared	Forbes reports this closed in Jan 2015
2016-01	Sinocare Inc. (SZSE:300298)	Trividia Health, Inc.	Other - healthcare	276	Cleared	January 7, 2016. "Nipro Diagnostics announced today that its acquisition by Sinocare Group has been completed. The company also announced that with completion of the acquisition, the company has been renamed Trividia Health."
2016-01	Unity Glory International Ltd.	Assets and Brands of Images Division, Motion Division of Corbis and Demotix	Media - image & motion	100	Cleared	"...Unity Glory International Ltd. has acquired the assets and brands of Corbis' Images division..."
2016-00	Origin Technologies	Affymetrix Inc.	Technology - DNA testing	1500	Failed	Members of Congress asked CFIUS to take a close look at the transaction. Bid rejected and instead offer from Thermo Fischer Scientific accepted
2016-00	Tsinghua Holdings; Unisplendour Corp. Ltd.; Unis Union Information System Ltd.; (Tsinghua University)	Western Digital	Technology - electronics		Cleared	Minority investment; does this conflict with 2016-02 entry? This may refer to a Chinese JV, which the parties apparently did instead of the acquisition (https://qz.com/1077186/lattice-lscc-china-is-stumbling-hard-at-acquiring-the-high-tech-chip-companies-it-wants-so-badly/)
2016-00	Harbin Gloria Pharmaceuticals Co., Ltd (SZSE:002437)	Proteus Digital Health, Inc.	Other - life science	25	Unknown	Gloria Pharma of Harbin has signed the H round Preferred Shares subscription agreement with Proteus on Feb. 22, 2016
2015-12	NXP	Freescale Semiconductor	Semiconductor	12000	Cleared	Conditioned approval on the divestiture of RF Power. Closed
2015-11	Beijing e-Town	ISSI	Semiconductor		Cleared	
2015-11	Leyard American Corp. (Beijing)	Planar Systems	Technology - displays		Cleared	Closed Nov 2015
2015-11	Leyard American Corporation	Planar Systems	Technology - digital displays	156.8	Cleared	Closed
2015-11	Summitview Capital; Huaqing Jiye Investment Management Co., Ltd.; Hua Capital Management Ltd.; eTown MemTek Ltd.	Integrated Silicon Solution Inc.	Semiconductor	800.9	Cleared	Received CFIUS approval in November 2015
2015-10	Shanghai Pudong Science and Technology Investment Co., Ltd.; CITIC Capital Partners; Gold Stone Investment Co., Ltd.; Hua Capital Management Ltd.	OmniVision Technologies, Inc.	Semiconductor	1380	Cleared	Cleared Oct 2015
2015-10	General Steel Holdings, Inc. (NYSE:GSI)	Catalon Chemical Corporation	Other - chemical	13	Cleared	General Steel Holdings, Inc. ("General Steel" or the "Company") (NYSE: GSI), a leading non-state-owned steel producer in China, today announced that it has completed the previously-announced acquisition of an 84.5% equity interest in Catalon Chemical Corp.

Date	PRC Buyer	Target	Industry	\$M	CFIUS	Comments
2015-10	Hua Capital Management Co.	OmniVision Technologies	Technology - digital imaging solutions	1900	Cleared	
2015-09	H&Q Asia Pacific, Ltd.; Kylli Inc.	Burlingame Point Office Site	Real Estate	90	Cleared	Hudson Pacific Properties, Inc. today announced the sale of its Bay Park Plaza office property in Burlingame, California to Asian private equity firm H&Q Asia Pacific for \$90.0 million before certain credits, prorations and closing costs. The sale was an all-cash transaction
2015-09	Bohai Leasing Co	Avolon Holdings	Other - airplane leasing	2500	Cleared	On Sep. 3, 2015, Bohai and Avolon signed the merger agreement
2015-09	Bohai Harvest RST (Shanghai) Equity Investment Fund Management Co., Ltd.; AVIC Automotive Systems Holding Co., Ltd.	Henniges Automotive North America, Inc.	Technology - engineered system for global market	600	Cleared	Closed on Sep. 15, 2015
2015-09	Xiamen Kingdomway Group Company (SZSE:002626)	Vit-Best Nutrition, Inc.	Pharma	15.5	Cleared	Closed
2015-08	Shunfeng International Clean Energy Limited (SEHK:1165)	Suniva Inc.	Energy	45	Cleared	"On 12 August 2015, the Company completed the acquisition of a 63.13% equity interest in Suniva..."
2015-08	Dalian Wanda Group Co Ltd	World Triathlon Corporation	Other - sports	650.0	Cleared	Closed
2015-07	Beijing Bohui Innovation Technology Co., Ltd. (SZSE:300318)	Advion, Inc.	Technology - mass spectrometers, chip-based ion, etc.	28	Cleared	"...effective July 1, 2015, Beijing Bohui Innovation Technology, Ltd. completed the acquisition of Advion, Inc."
2015-07	Fosun International Limited	Meadowbrook Insurance Group, Inc.	Financial	669.3	Cleared	Closed
2015-07	Senshine Hawaii 2113 LLC	Waikiki Retail Building on Kalakaua Avenue	Real estate	23.0	Cleared	Closed
2015-06	Porton Fine Chemicals Ltd. (SZSE:300363)	Porton Americas Inc.	Pharma	0.5	Cleared	Closed
2015-06	HNA Group Co., Ltd.	Red Lion Hotels Corporation (NYSE:RLH)	Real estate	20.9	Cleared	Closed
2015-05	Apex Technology Co., Ltd. (SZSE:002180)	Static Control Components, Inc.	Technology - imaging system and components	63	Cleared	Closed
2015-05	AAC Technologies Holdings Inc. (SEHK:2018)	WiSpry, Inc.	Technology	16.6	Cleared	Closed
2015-05	TTM Technologies	Via Systems Group	Technology - PCB, etc.	380.0	Cleared	Closed
2015-04	Tianshui Huatian Technology Co., Ltd. (SZSE:002185)	FlipChip International, LLC	Semiconductor	40.2	Cleared	Closed. Tianshui Huatian Technology Company Ltd (TSHT) announced the completion of the acquisition of FlipChip International LLC (FCI) on April 1, 2015
2015-04	Phoenix New Media Limited (NYSE:FENG)	Particle Inc.	Technology - mobile app	27.6	Cleared	Closed
2015-03	Global Logistic Properties	Industrial Income Trust Inc	Real estate	4550 (28000)	Cleared	IIT has entered into a merger agreement with affiliates of Global Logistic Properties Limited ("GLP"), pursuant to which IIT will merge into Western Logistics II LLC, an affiliate of GLP, in exchange for the receipt by IIT's stockholders of the cash purchase price
2015-03	Shanghai Pret Composites Co., Ltd. (SZSE:002324)	WPR Holdings LLC	Technology - composite material	70.5	Cleared	Closed
2015-02	Anbang Insurance Group Co., Ltd.	Waldorf Astoria New York	Real estate	1950	Cleared	Cleared in Feb 2015 (four months after announcement)

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2015-02	Sunshine Insurance Group Company Limited	Baccarat New York LLC	Financial	230	Cleared	"Starwood Capital Group, a leading global private investment firm, announced today that it has agreed to sell, on behalf of a controlled affiliate, the flagship Baccarat Hotel New York at 20 West 53rd Street in Manhattan to an affiliate of Sunshine Insurance Group."
2015-02	Action Holdings Financial Limited	Genius Holdings Financial Limited	Financial	3.5	Cleared	Closed
2015-02	Sunshine 100 China Holdings Ltd (SEHK:2608)	Sunshine 100 Resort Development Company Limited	Real estate	6.0	Cleared	Closed
2015-01	Hubei Huachangda Intelligent Equipment Co., Ltd. (SZSE:300278)	Dearborn Mid-West Conveyor Company, Inc., Automobile Intelligent Equipment Division and Warehousing	Technology - complex material handling system	53.5	Cleared	Closed
2015-01	WuXi PharmaTech (Cayman) Inc.	NextCODE Health LLC	Other - healthcare	65	Cleared	Closed
2015-01	Kingsignal Technology Co.,	PC Specialties-China, L.L.C.	Technology - circuit board, etc.	17.7	Cleared	Closed
2015-01	Jiangsu zhenfa holding	STR Holding	Energy - solar	28	Cleared	Closed
2015-01	Go Scale Capital (fund led by GSR Ventures)	Royal Philips	Other - lighting	2800		Withdrawn. Presumably, the parties could not overcome CFIUS concerns that currently surround Chinese acquisitions of U.S. companies in the technology sector, given the perception by some in the U.S. government that the transfer of such technologies to Chinese entities may result in U.S. security vulnerabilities, and that U.S. intellectual property will be used to shortcut the development of China's own expanding tech industry. Moreover, while Lumileds' provision of LED components for cars and consumer electronics wouldn't seem to implicate U.S. national security, CFIUS may have focused on the fact that certain semiconductor technology used in LED production can also have military applications
2015-00	Shanghai Shenglong Investment Group Co., Ltd.	1201 South Grand Avenue	Real estate	26	Cleared	Closed
2015-00	HK Battery Technology Inc.	Far East Golden Resources	Energy - mining			Withdrawn
2015-00	Beijing Kingsley Property Development Co Ltd	Downtown Cincinnati Building At 21 W. Court St.	Real estate	0.2	Cleared	Closed
2015-00	[]	KYKY	Technology - electronics			Withdrawn; CFIUS would not allow re-filing
2015-00	Tsinghua Unigroup	Micron Technology	Semiconductor	23000	Abandoned	Micro does not believe the deal is possible due to national security concerns. "...until November 2016, when Tsinghua confirmed it was not in any talks with the Idaho company"
2014-12	SPI Energy Co., Ltd. (NasdaqGS:SPI)	Beaver Run Solar Farm, LLC	Energy	5.2	Cleared	Closed
2014-12	Shenzhen O-film Tech. Co., Ltd. (SZSE:002456)	DigitalOptics Corporation, Certain Patents and Equipment Assets	Technology - camera module tech, etc.	41.5	Cleared	The closing date for the acquisition is 2 December 2014
2014-11	Phoenix New Media Limited (NYSE:FENG)	Particle Inc.	Technology - content engine	8.5	Cleared	Closed

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2014-10	TE Connectivity	Measurement Specialties Inc	Technology - sensors and sensor-based systems	1700	Cleared	CFIUS approved the transaction on Oct 2, 2014
2014-10	Nutrichem Company Limited	Albaugh, Inc.	Other - agrochemical	220	Cleared	
2014-10	Lenovo Group	Motorola Mobility	Technology - telecoms	2910	Cleared	Acquisition complete
2014-10	Phoenix New Media Limited (NYSE:FENG)	Particle Inc.	Technology - content engine	6.0	Cleared	Closed
2014-10	ENN Energy Holdings Limited (SEHK:2688)	ENN North America Investment Corporation	Energy	180.0	Cleared	Closed
2014-09	Reignwood International Holdings Company Limited	Princeville at Hanalei LLC	Real estate	343.0	Cleared	Closed
2014-09	Henan Billions Chemicals Co., Ltd. (SZSE:002601)	Huntsman Corporation, TR52 Ink Grade Business	Other - chemical	10.0	Cleared	Closed
2014-09	Creative International Investments Inc.	Burbank Civic Plaza, LLC	Real estate	22.0	Cleared	Closed
2014-09	Sumavision Technologies Co., Ltd. (SZSE:300079)	Shatto Corporation	Technology - digital broadcast, etc.	16.0	Cleared	Closed
2014-08	Lenovo Group	IBM	Technology - electronics	2300	Cleared	
2014-08	Shenzhen Hazens Real Estate Group Co., Ltd.	Luxe City Center Hotel and 1.34 Acre Parking Lot	Real estate	105	Cleared	Completed
2014-07	Changyou.com Limited (NasdaqGS:CYOU)	Mobotap, Inc.	Other	91.0	Cleared	Closed
2014-07	Reignwood Group	All Market Inc.	Other - coconut water	166.0	Cleared	Closed
2014-06	Sanpower Group Co., Ltd.; Sailing Capital Advisors (HK) Limited	Brookstone Inc.	Other	283.1	Cleared	Closed
2014-06	Goodbaby International Holdings Limited (SEHK:1086)	WP Evenflo Holdings Inc.	Other - durable products	143.0	Cleared	Closed
2014-05	Kylli Inc.	Building at 225 Bush Street	Real estate	350.0	Cleared	Closed
2014-05	Jiangsu Phoenix Education Publishing House Co., Ltd.	Children's Book Business Assets	Other - publishing	80.0	Cleared	Closed
2014-05	Sunrise Real Estate Group, Inc. (OTCPK:SRRE); Robhana Group, Inc.; 4M Investment Group Inc.	West Los Angeles Office Building	Real estate	39.3	Cleared	Closed
2014-05	SPI Energy Co., Ltd. (NasdaqGS:SPI)	50% in Calwii Power Holdings, LLC and 89% in Solar Hub Utilities, LLC	Energy	12.0	Cleared	Closed
2014-04	TPG Capital, L.P.; Fosun Industrial Co., Ltd.	Chindex International Inc.	Other - healthcare	384.9	Cleared	Closed
2014-04	AutoNavi Holdings Limited	Alibaba	Technology - GPS navigation	1500	Cleared	Completed

Date	PRC Buyer	Target	Industry	\$M	CFIUS	Comments
2014-03	Sunshine Kaidi New Energy Group Co., Ltd.	Rentech, Inc., Alternative Energy Technologies And Decommissioned Product Demonstration Unit	Energy	31.5	Cleared	Closed
2014-01	Kraton Performance Polymers	LCY Chem Corp	Other - chemical	2000	Abandoned	Abandoned
2014-01	Shenzhen Zowee Technology Co., Ltd (SZSE:002369)	Double Power Technology Inc.	Technology	3.0	Cleared	Closed
2014-00	Shanghai Reseat Medical Technology	Bionic Eye Technologies, Inc.	Technology	3.0	Cleared	Closed
2014-00	Zeller Realty Group, Inc.; Cindat Capital Management	Chicago Office Building	Real estate	304.0	Cleared	Closed
2014-00	Dalian Wanda Group Co Ltd	Eight-Acre Plot in Between Wilshire and Santa Monica Boulevards	Real estate	150.0	Cleared	Closed
2014-00	Greenland Holdings Corporation Limited (SHSE:600606)	Parking Lot Next To The Freeway North Of 9th Street	Real estate	150.0	Cleared	Closed
2014-00	TeamRise	Property at the Northeast Corner of Bell Street and Fourth Avenue in Seattle	Real estate	20.0	Cleared	Closed
2014-00	Cheer Land Investment Group	The River at Rancho Mirage	Real estate	65.0	Cleared	Closed

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涉及中国的CFIUS项目一览 - 特朗普政府期间

日期： “日期”一栏标注与CFIUS有关的项目最近开展的日期

标记： “通过”包含提交CFIUS审核并最终交割的项目；“未通过”包含在最终否决前撤销的项目

Date	PRC Buyer	Target	Industry
2018-02	蓝色光标国际传播集团有限公司	Cogint Inc.	科技 - IT技术
2018-02	Unic Capital Management Co., Ltd; 国家集成电路产业投资基金股份有限公司	Xcerra Corporation	半导体
2018-02	哈药集团医药有限公司	GNC, Inc.	其它：美国健康产品
2018-02	中国泛海控股集团	Genworth Financial	金融 - 保险
2018-02	国投创新	Maxwell Technologies	能源
2018-01	国有企业	US real estate company	房地产
2018-01	金沙江资本	Automotive Energy Supply Corp. (Nissan car battery operations)	科技 - 电动车电池
2018-01	北方华创	Akron Systems LLC	半导体
2018-01	科瑞集团	Biotest AG	医药
2018-01	海航创新金融集团	Glencore	其它 - 产品存储和物流
2018-01	海航集团	Skybridge Capital II LLC	金融
2018-01	蚂蚁金服	Moneygram	金融
2018-01	General International Holdings, Inc.	Lightbridge Corporation	能源 - 核能
2018-01	郑州煤矿机械集团股份有限公司	Robert Bosch Motors Generators LLC	科技
2017-12	T.C.L. Industries Holdings (Hong Kong)	Novatel Wireless, Inc. / Inseego	科技 - 无线通讯
2017-12	迅达科技集团	Anaren Holding Corp.	半导体
2017-11	海航集团; 文思海辉技术有限公司	Ness Technologies; Jersey Holdings Corp.	科技 - 软件和IT服务
2017-11	东方弘泰	Applovin	科技
2017-11	贝森资本	Cinedigm	媒体
2017-11	海航集团	OM Asset Management	金融 - 保险
2017-11	中国华信	Cowen, Inc.	金融
2017-10	东方网力科技股份有限公司	Arecont Vision, LLC	科技 - 监控相机
2017-09	北京建广资产管理有限公司	RJR Technologies, Inc.	科技 - 电子包装
2017-09	中国重型汽车集团	UQM Technologies, Inc.	科技 - 电动马达
2017-09	四维图新; 腾讯; GIC	HERE	科技 - 地图和导航
2017-09	Canyon Bridge Capital Partners (国新科创)	Lattice Semiconductor	半导体
2017-08	Zhongwang USA LLC (刘忠田通过Zhongwang International Group Ltd. (中国忠旺的母公司) 控制的投资公司)	Aleris Corporation	其它 - 铝
2017-08	蚂蚁金服	EyeVerify	金融
2017-06	中远海运	Orient Overseas (Int'l) Ltd.	其它 - 海运
2017-05	海航集团 (通过其关联机构·北京喜乐航科技股份有限公司)	Global Eagle Entertainment	媒体
2017-04	Automated Systems Holding Limited (ASL) (北京华胜天成的关联公司)	Grid Dynamics International	科技 - IT技术

2017-04	Avolon (海航集团所控制的渤海产业投资基金所拥有)	CIT Group, Inc.	金融 - 飞机融资
2017-03	中国泛海控股; IDG资本	International Data Group	媒体
2017-02	财团 (MLS, 义乌市国有资产经营有限公司, IDG Capital Partners)	Osram Licht AG unit: LEDvance	科技 - LED灯
2017-02	北京建广资产管理有限公司; 中国智路资本	Nexperia Holdco Netherlands	半导体
2017-02	大连万达	Dick Clark Productions	媒体

2017-01 特朗普政府期间



\$M	CFIUS	Comments
100	未通过	2018年2月撤回；据报道系因为无法获得CFIUS审批
580	未通过	于2017年12月撤回。媒体报道交易已于2018年2月放弃。Reuters报道CFIUS否决了该交易。Cohen可能是财务顾问。主要的担忧是参与美国政府和军队的供应链。
300	进行中	2018年2月公布交易。CFIUS审核进行中。
2700	进行中	交易各方多次撤回并重新提交申请，包括2017年4月和2018年2月。各方称将继续进行。
47	未通过	2018年2月放弃
2000	通过	75天审查期后获得了批准
1000	通过	新闻报道了该交易；不清楚是否继续进行。2018年1月报道称交易已经交割。
15	通过	2018年1月获得批准
	通过	2018年1月获得批准
	进行中	非美国部分的交易已经交割；CFIUS申请于2018年1月提交。海航可能已经被列入黑名单。
200	进行中	出售多数股权；这是Anthony Scaramucci的公司。Reuters于2018年1月报道称在海航提交其股权结构的充分信息之前，CFIUS将不会审核通过任何海航的交易。
800	未通过	个人信息和地理位置
3	进行中	少数股权投资。根据SEC公报，2017年4月，General International将其持股比例增至9.8%。Lightbridge的8-K表明签署了2018年1月的股票购买协议，购买非投票权股票，有待CFIUS审核 (https://seekingalpha.com/filing/3835447)
	通过	2018年早期获得了通过。
50	未通过	
	进行中	交易于12/1/17签署。目标公司是美国政府的关键供应商。买方是拥有9.6%中国股东的境外公司。2/12/18有待CFIUS审批。
325	放弃	目标公司起诉买方在CFIUS申请过程中缺乏透明度；目标公司终止了交易。最终的CFIUS申请于2017年11月提交。Reuters报道Ness指控海航在CFIUS申请过程中缺乏诚信。
1400	未通过	交易各方重新设定交易结构，以避免CFIUS审核。
30	通过	交割后获得了审批通过。
446	通过	收购英国/威尔士公司的24.95%股权，但拥有美国子公司。新闻报道称交易于2017年11月交割。
100	未通过	2017年11月撤回
	通过	根据可靠消息，在东方网力取消交易时，CFIUS正打算通过交易审核；目标公司已经起诉。
	未知	2017年9月公布合资企业；各方声称将提交CFIUS审核；不清楚是否已经提交
5	通过	"Stage II" 交割未定
	未通过	2017年9月放弃交易
1300	未通过	可能是由于缺乏透明度。Case study info at PF10255.
2330	未通过	根据Bloomberg，交易于2017年8月取消，但各方仍在谈判。
	通过	2017年8月获得通过
6300	进行中	2016年6月公布交易。CFIUS审核仍在进行 Jan 2018。
416	未通过	2017年7月放弃交易
118	通过	2017年4月完成交易

10,000	通过	2017年4月交易交割
	通过	2017年3月交易交割
442	通过	2017年2月交易通过
2750	通过	2017年2月通过
1000	进行中	不确定放弃交易是由于CFIUS的原因或者是因为中国外汇管制的原因；WSJ和Reuters于2017年2月报道称是由于北京政府的原因，但是万达称交易仍未终止。CFIUS审批状态未定。

