NYSCEF DOC. NO. 49

INDEX NO. 651833/2020

RECEIVED NYSCEF: 02/05/2021

Index No.: 651833/2020

NOTICE OF APPEAL

SUPREME COURT OF THE STATE OF NEW YORK COUNTY OF NEW YORK

VICTORIA'S SECRET STORES, LLC successor in interest to VICTORIA'S SECRET STORES, INC.; and L BRANDS INC., successor in interest to THE LIMITED, INC. and INTIMATE BRANDS, INC.,

Plaintiffs,

-against-

HERALD SQUARE OWNER LLC successor in interest to 1328 BROADWAY, LLC,

Defendant.

PLEASE TAKE NOTICE that pursuant to Article 55 of the CPLR, Plaintiffs Victoria's Secret Stores, LLC successor in interest to Victoria's Secret Stores, Inc., and L Brands Inc., successor in interest to The Limited, Inc. and Intimate Brands, Inc. hereby appeals to the Appellate Division of the Supreme Court of the State of New York, First Judicial Department, from the Decision + Order on Motion dated January 7, 2021, which was duly entered in the office of the

Clerk of this Court on January 7, 2021, where Notice of Entry was filed on January 8, 2021.

Dated: New York, New York February 5, 2021

DAVIDOFF HUTCHER & CITRON LLP

By: /s/ William H. Mack

William H. Mack 605 Third Avenue New York, New York 10158 (212) 557-7200 Attorneys for Plaintiffs/Appellants Victoria's Secret Stores, LLC and L Brands Inc.

TO: All counsel of record (via NYSCEF)

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Clerk of the Court (via Regular Mail) Supreme Court of the State of New York County 60 Centre Street New York, NY 10007

Stephen B. Meister (via Regular Mail) Meister Seelig & Fein LLP 125 Park Avenue, 7th Floor New York, New York 10017 Attorneys for Defendant/Respondent Herald Square Owner LLC

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Supreme Court of the State of New York Appellate Division: First Indicial Department

Informational Statement (Pursuant to 22 NYCRR 1250.3 [a]) - Civil

Case Title: Set forth the title of the case as it appears on the summons, notice of petition or order to show cause by which the matter was or is to be commenced, or as amended. For Court of Original Instance							
VICTORIA'S SECRET STO SECRET STORES, INC.; a LIMITED, INC. and INTIMA - against -	Date Notice of Appeal Filed						
HERALD SQUARE OWNE BROADWAY, LLC,	ER LLC successor in intere	est to 1328	For Appellate Division				
BROADWAT, LLC,							
Case Type		Filing Type					
☐ Civil Action ☐ CPLR article 75 Arbitration	☐ CPLR article 78 Proceed ☐ Special Proceeding Oth ☐ Habeas Corpus Proceed	er Original Proceed	☐ Executive Law § 298 ☐ CPLR 5704 Review 220-b w § 36				
Nature of Suit: Check up to	three of the following categor	ories which best reflect	the nature of the case.				
☐ Administrative Review	☐ Business Relationships	■ Commercial	■ Contracts				
☐ Declaratory Judgment	☐ Domestic Relations	☐ Election Law	☐ Estate Matters				
☐ Family Court	☐ Mortgage Foreclosure	☐ Miscellaneous	☐ Prisoner Discipline & Parole				
Real Property	☐ Statutory	☐ Taxation	□ Torts				
(other than foreclosure)							

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	Appeal						
Paper Appealed From (Check one only)):	* *	en from more than one order or				
			his notice of appeal, please				
			ation for each such order or				
			on a separate sheet of paper.				
☐ Amended Decree	☐ Determination	☐ Order	☐ Resettled Order				
	☐ Finding	\square Order & Judgment	☐ Ruling				
☐ Amended Order	☐ Interlocutory Decree	☐ Partial Decree	\square Other (specify):				
☐ Decision	$\hfill\square$ Interlocutory Judgment	☐ Resettled Decree					
■ Decree	☐ Judgment	☐ Resettled Judgment					
Court: Supreme Court	<u>t</u>	County: New Y	'ork				
Dated: 01/07/2021		Entered: 1/7/2021					
Judge (name in full): Andrew Borrok		Index No.: 651833/2020					
Stage: ☐ Interlocutory ■ Final ☐	Post-Final	Trial: ☐ Yes ■ No	If Yes: ☐ Jury ☐ Non-Jury				
	Prior Unperfected Appeal a	nd Related Case Informatio	n				
Are any appeals arising in the same act	tion or proceeding currently	pending in the court?	☐ Yes ☐ No				
If Yes, please set forth the Appellate Di	ivision Case Number assign	ed to each such appeal.					
Where appropriate, indicate whether t		or proceeding now in any co	ourt of this or any other				
jurisdiction, and if so, the status of the	case:						
	Original Proce	eeding					
Commenced by: Order to Show Ca	ause Notice of Petition	☐ Writ of Habeas Corpus	Date Filed:				
Statute authorizing commencement of		•					
0							
P	Proceeding Transferred Purs	suant to CPLR 7804(g)					
Court: Choose Court		•	e Countv				
Judge (name in full):		der of Transfer Date:					
	CPLR 5704 Review of	Ex Parte Order:					
Court: Choose Court	Cor	unty: Choos	e Countv				
Judge (name in full):	Dat	ted:					
Description o	of Appeal, Proceeding or Ap	plication and Statement of	Issues				
Description: If an appeal, briefly descri	ibe the paper appealed from	m. If the appeal is from an	order, specify the relief				
	requested and whether the motion was granted or denied. If an original proceeding commenced in this court or transferred pursuant to CPLR 7804(g), briefly describe the object of proceeding. If an application under CPLR 5704, briefly describe the						
nature of the ex parte order to be review		O	,,				
This is an appeal of a Decision and Order g		t Herald Square Owner LLC's	motion for summary judgment. This				
appeal seeks to reverse the Order and Judg	gment based upon Defendant/l	Respondent's failure to meet its	s burden to show that summary				
judgment in its favor was appropriate. Speciand failed to properly consider Plaintiffs/App							
and railed to property consider Flaminis/App	Chants assertion of the Fiustia	auon orr urpose and impossibl	mity of Ferrormanice Documes.				
	·		·				

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Issues: Specify the issues proposed to be raised on the appeal, proceeding, or application for CPLR 5704 review, the grounds for reversal, or modification to be advanced and the specific relief sought on appeal.

- 1. Whether the trial court misinterpreted the meaning of Paragraph 26 of the Lease Agreement?
- 2. Whether the trial court failed to properly consider Plaintiffs/Appellants assertion of the Frustration of Purpose and Impossibility of Performance Doctrines?

Party Information

Instructions: Fill in the name of each party to the action or proceeding, one name per line. If this form is to be filed for an appeal, indicate the status of the party in the court of original instance and his, her, or its status in this court, if any. If this form is to be filed for a proceeding commenced in this court, fill in only the party's name and his, her, or its status in this court.

No.	Party Name	Original Status	Appellate Division Status
1	Victoria's Secret Stores, LLC	Plaintiff	Appellant
2	L Brands Inc.	Plaintiff	Appellant
3	Herald Square Owner LLC	Defendant	Respondent
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Attorney Information

Instructions: Fill in the names of the attorneys or firms for the respective parties. If this form is to be filed with the notice of petition or order to show cause by which a special proceeding is to be commenced in the Appellate Division, only the name of the attorney for the petitioner need be provided. In the event that a litigant represents herself or himself, the box marked "Pro Se" must be checked and the appropriate information for that litigant must be supplied in the spaces provided.

in the spaces provided.			
Attorney/Firm Name: William H.	Mack/Davidoff Hutcher & Citron LL	P	
Address: 605 Third Avenue			
City: New York	State: New York	Zip: 10158	Telephone No: 212-557-7200
E-mail Address: WHM@DHCLegal	.com		
Attorney Type:	etained \square Assigned \square	Government \square	Pro Se
Party or Parties Represented (s	et forth party number(s) fro	om table above):	1,2
Attorney/Firm Name: Stephen B.	. Meister/Meister Seelig & Fein LLF	9 - 100 - 10	
Address: 125 Park Avenue, 7th Floor	r		
City: New York	State: New York	Zip: 10017	Telephone No: 212-655-3500
E-mail Address: sbm@msf-law.con	n		
Attorney Type:	etained \square Assigned \square	Government \square	Pro Se
Party or Parties Represented (s	et forth party number(s) fro	om table above):	1,2
Attorney/Firm Name:	867 2607 2607 2607 2607 2607 2607 2607 2607 2607 2607 2607 2607 2607 2607 2607	60° 1. 860° 1. 860° 1. 860° 1. 860° 1. 860° 1. 860° 1. 860° 1. 860° 1. 860° 1. 860° 1. 860° 1. 860° 1. 860° 1.	
Address:			
City:	State:	Zip:	Telephone No:
E-mail Address:			
Attorney Type:	etained \square Assigned \square	Government \square	Pro Se
Party or Parties Represented (s	et forth party number(s) fro	om table above):	1,2
Attorney/Firm Name:			
Address:			
City:	State:	Zip:	Telephone No:
E-mail Address:			
Attorney Type:	etained \square Assigned \square	Government \square	Pro Se
Party or Parties Represented (s	et forth party number(s) fro	om table above):	1,2
Attorney/Firm Name:			
Address:			
City:	State:	Zip:	Telephone No:
E-mail Address:			
Attorney Type:	etained \square Assigned \square	Government \square	Pro Se
Party or Parties Represented (s	et forth party number(s) fro	m table above):	1,2
Attorney/Firm Name:			
Address:			
City:	State:	Zip:	Telephone No:
E-mail Address:			
Attorney Type:	etained \square Assigned \square	Government \square	Pro Se
Party or Parties Represented (s	et forth party number(s) fro	om table above):	1,2

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RECEIVED NYSCEF: 02/08/2021

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SUPREME COURT OF THE STATE OF NEW YORK COUNTY OF NEW YORK

VICTORIA'S SECRET STORES, LLC, successor in interest to VICTORIA'S SECRET STORES, INC.; and L BRANDS INC., successor in interest to THE LIMITED, INC. and INTIMATE BRANDS, INC.,

Plaintiffs,

v.

HERALD SQUARE OWNER LLC, successor in interest to 1328 BROADWAY, LLC,

Defendant.

Index No. 651833/2020

NOTICE OF ENTRY

(Mot. Seq. No. 001)

PLEASE TAKE NOTICE that the Decision and Order on Motion of the Honorable Andrew Borrok dated January 7, 2021, a true copy of which is annexed hereto, was duly filed and entered in the office of the Clerk of the Supreme Court, New York County on January 7, 2021.

Dated: New York, New York January 8, 2021

MEISTER SEELIG & FEIN LLP

By: /s/ Stephen B. Meister
Stephen B. Meister, Esq.
Howard S. Koh, Esq.
Amit Shertzer, Esq.
125 Park Avenue, 7th Floor
New York, New York 10017
Tel: (212) 655-3500

Attorneys for Defendant Herald Square Owner LLC NYSCEF DOC. NO. 49

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SUPREME COURT OF THE STATE OF NEW YORK **NEW YORK COUNTY**

PRESENT: HON. ANDREW BORROK		PART	IAS MOTION 53EFM	
		Justice		
		X	INDEX NO.	651833/2020
	SECRET STORES, LLC SUCCESS O VICTORIA'S SECRET STORES,		MOTION DATE	06/30/2020
BRANDS IN	C.,SUCCESSOR IN INTEREST TO C. AND INTIMATE BRANDS, INC.		MOTION SEQ. NO	D . 001
	Plaintiff,			
	- V -		DECISION	ORDER ON
	UARE OWNER LLC SUCCESSOR O 1328 BROADWAY, LLC,		TION	
	Defendant.			
		X		
	e-filed documents, listed by NYSCE, 17, 18, 19, 20, 21, 22, 23, 24, 25,			
were read on	this motion to/for	SUMMARY	JUDGMENT(AFTE	ER JOINDER
Upon the for	egoing documents, the defendant'	s motion for s	ummary judgmer	nt dismissing the
complaint is	granted in its entirety.			

The Complaint is premised on the mistaken theory that the parties did not allocate the risk of tenant not being able to operate its business and that tenant is therefore somehow forgiven from its performance by virtue of a state law. This is contrary to the express allocation of these risks set forth in Paragraph 26 of the Lease Agreement, dated as of August 22, 2001, by and between Herald Square Owner LLC's predecessor-in-interest, as landlord, and Victoria's Secret Stores, LLC's predecessor-in-interest, as tenant, as amended (collectively, hereinafter, the Lease; NYSCEF Doc. Nos. 9-10). It is of no moment that the specific cause for the government law was not enumerated by the parties because the Lease as drafted is broad and encompasses what happened here — a state law that temporarily caused a closure of the tenant's business (see, e.g.,

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651833/2020 VICTORIA'S SECRET STORES, vs. HERALD SQUARE OWNER LLC

Motion No. 001

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Urban Archeology, Ltd. v 207 *E.* 57th St. LLC, 2009 WL 8572326, at *5 (Sup Ct NY Cnty Sept. 10, 2009) (Sherwood, J. [citing *General Electric Co. v Metals Resources Group Ltd.*, 293 AD2d 417 (1st Dept 2002)], *affd*, 68 AD3d 562 (1st Dept 2009)]. The parties agreed that this would not relieve the tenant's obligation to pay rent. Thus, the Complaint must be dismissed in its entirety.

Accordingly,

ORDERED that defendant's motion for summary judgment is granted and the complaint is dismissed with costs and disbursements to defendant as taxed by the Clerk upon the submission of an appropriate bill of costs; and it is further

ORDERED that the Clerk is directed to enter judgment in favor of the defendants on the complaint accordingly; and it is further

ORDERED that the parties appear for a preliminary conference with respect to the counterclaims on February 9, 2021 at 2 pm.

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1/7/2021		, ,				
DATE	-			ANDREW BORROK	, J.	S.C.
CHECK ONE:		CASE DISPOSED	Х	NON-FINAL DISPOSITION		
	X	GRANTED DENIED		GRANTED IN PART		OTHER
APPLICATION:		SETTLE ORDER		SUBMIT ORDER		
CHECK IF APPROPRIATE:		INCLUDES TRANSFER/REASSIGN		FIDUCIARY APPOINTMENT		REFERENCE

651833/2020 VICTORIA'S SECRET STORES, vs. HERALD SQUARE OWNER LLC Motion No. 001

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1
    SUPREME COURT OF THE STATE OF NEW YORK
    COUNTY OF NEW YORK - CIVIL TERM - PART 53
2
    ----X
    VICTORIA'S SECRET STORES, LLC
    successor in interest to
    VICTORIA'S SECRET STORES, INC.;
    and L BRANDS, INC., successor
    in interest to THE LIMITED,
5
    INC. and INTIMATE BRANDS, INC.,
                    Plaintiffs
6
7
                                         Index No. 651833/2020
    v.
    HERALD SQUARE OWNER, LLC
8
    successor in interest to
9
    1328 BROADWAY, LLC,
10
                    Defendants.
    . - - - - - - - - - - - - X
11
                                         Via MS Teams
                                         January 7, 2021
12
   BEFORE:
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    HONORABLE ANDREW BORROK,
14
                                                  Justice
15
16
    APPEARANCES:
    DAVIDOFF HUTCHER & CITRON, LLP
17
    605 Third Avenue
    New York, New York 10158
18
    BY: WILLIAM H. MACK, ESQ.,
19
    BY:
       BENJAMIN S. NOREN, ESQ.,
    Attorneys for Plaintiffs
20
    MEISTER SEELIG & FEIN, LLP
21
    125 Park Avenue, 7th Floor
    New York, New York 10017
    BY: STEPHEN B. MEISTER, ESQ.,
22
    BY: HOWARD S. KOH, ESQ.,
    Attorneys for Defendants
23
24
25
    Reported by: Anthony Armstrong, Official Court Reporter
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2 This is Victoria's Secret Stores 1 THE COURT: LLC, successor in interest to Victoria's Secrets 2 Stores, Inc., et al. versus Herald Square Owner LLC, successor in interest to 1328 Broadway LLC, Index 4 5 651833-2020. Your appearances, please, for the record. 6 7 MR. MACK: Good afternoon, your Honor. For the plaintiff, my name is William Mack of Davidoff Hutcher 8 & Citron. I'm accompanied by Benjamin Noren of my 9 firm. 10 11 MR. MEISTER: Good morning, your Honor. 12 Stephen Meister, Meister Seelig & Fein, for the defendant. I'm joined by my partner Howard Koh. 13 And if I may, Howard, were you able to email our 14 appearances for both of us to the reporter? 15 I will state for the record he has 16 THE COURT: 17 nodded. Good morning to you both as well. We're here on your motion. 18 I am prepared to hear your motion, Counselor. 19 20 MR. MEISTER: Okay, your Honor. Stephen Meister again. Thank you. 21 Your Honor, I would start by noting that in the 22 very first sentence of the plaintiff's brief in 2.3 opposition, the plaintiff references the motion's 24

Anthony Armstrong, Official Court Reporter

significance and the quote, "sweeping consequences" of

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3 1 the decision on the motion. We would respectfully disagree with that. 2 3 don't think your Honor is tasked with writing a law review article or legislation but rather simply 4 5 deciding this case based on this lease and this tenant. And indeed, we think it's an easy case to 6 7 decide --Do you want me to focus on 8 THE COURT: 9 paragraph 26? 10 MR. MEISTER: Yes, sir. Paragraph 26 (i) and (ii), and to a lesser extent, paragraph I believe it's 11 2(c)(v)(i). 12 If I can turn to 26, which is page 45 - and I 13 think that's Exhibit A to the Kassner affidavit - it 14 says, "Except," and I'll quote --15 16 THE COURT: Not to interrupt you. But for the purposes of the record, NYSID Docket No. 9, page 45 of 17 the lease. I understand. 18 Thank you, your Honor. Thank you 19 MR. MEISTER: for that assistance. 20 So Paragraph 26 begins (i), "Except as expressly 21 provided in subparagraph (ii) below, this lease and 22 the obligation of tenant to pay rent and additional 2.3 rent hereunder," it goes on to reference the other 24

Anthony Armstrong, Official Court Reporter

convenance, "by tenant shall in nowise be affected,

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impaired or excused because landlord is unable to fulfill any of its obligations under the lease."

And I would stop for a moment. Obviously one of the landlord's obligations is to provide quiet enjoyment and the access and use of the premises.

And it goes on to say, "Even if the landlord is unable to perform those covenants, it says, by reason of strikes or labor trouble or accident," and then it goes on, "or by any cause whatsoever, reasonably beyond landlord's control," and then it says, "including but not limited to governmental preemption in connection with a national emergency or by reason of any rule, order or regulation of any federal, state, county or municipal authority, or any department or subdivision thereof or any government agency." And then it defines all of that as unavoidable delay.

And then I guess let me just reference 26 (ii) before I argue why I think this cause is dispositive.

Twenty-six (ii) says, "If landlord fails to provide any service or perform any obligation that landlord is obligated to provide or perform," and I'm paraphrasing, and as a result, tenants not able to operate and is closed, then it goes on in this section, "to provide a proration of rent obligations."

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But there is a proviso at the end of 26(ii) that says,

"tenant shall not be entitled to an abatement of rent.

In the event of such failure, "meaning failure of
landlord to provide any services or perform any

required obligation, "results from, in relevant part,

casualty or an unavoidable delay."

So what this lease says -- and I think somewhat unusual in this regard. It says even if there is a casualty, meaning the building, God forbid, were to burn to the ground, or in the event of unavoidable delay, which is this defined term that includes any cause beyond landlord's control, a national emergency, government preemption, et cetera, there is no abatement of rent.

So we think it's quite clear, your Honor, that this is a bargain for contractual risk allocation that an uber sophisticated tenant entered into. This is a tenant who operates on the order of a thousand stores and is a publicly-traded company with a multi-billion dollar market cap, and presumably bargained for a rent which reflected these absolute obligations.

And then if you turn to an earlier part of the lease, it's 3(c) -- give me one second, please, to get to it. It's 3(c)(iv), just to round out the discussion, which is called -- this is on page three.

Anthony Armstrong, Official Court Reporter

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I think it's NYSCEF 9, Operation of Business, there's a continuous operation covenant. And then that's in paragraph I. It's a default for tenant not to operate except, of course, for making alterations.

And then in subparagraph (vi), which is at the bottom of page four. The parties agreed -- and they began this clause, "Because of the difficulty or impossibility of determining landlord's damages due to diminished saleability or mortgageability."

And then it goes on to say, "Should tenant fail to operate," which they define as a failure to do business. That's a separate default from the date to pay rent.

And then it goes on to say that landlord were to be excused from that in a parenthetical in the event of a casualty or the business is shut down to make a repair or an alteration.

So I think -- and then of course I think it's paragraph, which I won't turn to, but it's -paragraph 1(a) simply says that the rent is paid without offset or abatement at all.

I think these four provisions together, but particularly Paragraph 26, as your Honor pointed out, should be read and really have to be read, your Honor, as a contractual -- allocation of risk --

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1 THE COURT: We're losing you, Mr. Meister. 2 understand. I got it.

> MR. MEISTER: Okay. So let me go on. apologize for the technical difficulty. I can wrap up my argument fairly quickly.

Your Honor handed down a decision not too long I think it was called the Vector Media case, which wasn't a lease case. I am sure your Honor remembers it. It wasn't long ago. But you did discuss frustration of purpose and impossibility.

You cited five cases. Two Court of Appeals cases - Kel Kim and the Savoy Hotel case, 407 East And then three First Department cases - Crown 61st. IT, Urd Right, and the Valenti case.

With regard -- so I just want to be clear: defendant's position is that both of these common law defenses are precluded by the express allocation of risk that I just referenced in those --

THE COURT: I got it. I really -- Mr. Meister, I am absolutely clear. I understand your other argument, which was the fact that there isn't a force majeure clause, and the lease should also be a bar. As a fallback, your first position is -- look, there's a specific allocation of risk in the lease. fallback position is if I don't find that paragraph 26

Anthony Armstrong, Official Court Reporter

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is an absolute bar to the plaintiff's claims in the case, the fact that the parties didn't negotiate a force majeure clause is also significant in the context of sophisticated parties, which you often see in the context of commercial leases.

> Thank you, your Honor. MR. MEISTER:

The only thing I would add to that is, let's remember that this is a 3212 motion, not a 3211 motion. We waited for an answer and then we immediately filed. So issue has been joined. Therefore, although we do think that the allocation of risk meets the 3211 standard where under the lower bar of 3212 where the plaintiff must bear its proof and raise a triable issue of fact, here I just want to note for the record, your Honor, that the -- there is no affidavit other than an attorney affidavit or affirmation attaching some COVID-related articles by my partner --

I understand. Your view is that THE COURT: you could have come in on 3211(a)(1) motion, and you should have won on documentary evidence alone?

> MR. MEISTER: Correct.

I got it, Mr. Meister. I am clear. THE COURT: You explained this to me when this case was first filed. This case has a little bit of history.

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9 1 this point we have talked about it at a number of conferences. I have to do some checking about some 2 issues. I've looked into those issues. We dealt with 4 5 those issues. Putting that aside for a minute, I am fully clear on what your issue is. 6 7 Last quick point is there has MR. MEISTER: been no surrender of the premises. The lease was 8 amended 10 times since it was entered into, which was 9 10 after 9/11, Ebola, SARS, the H1N1. And I just want to point out on this claim for discovery, they are the 11

> but they are the original tenant. If either party here would have any relevant -- although we don't

> original tenant. We are not the original landlord,

think there is no ambiguity, it would be the tenant

16 not the landlord.

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So we think - I don't want to belabor it - all the claims should be dismissed. And with that, I'll yield, your Honor.

THE COURT: Thanks. I will give you time if you think there's anything that you wish to address that Mr. Mack raises or his partner raises that you don't think I am clear on.

Mr. Mack or Mr. Noren, I am all ears.

MR. MACK: Good morning, your Honor.

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1 Mack for the plaintiff.

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I would like to start by noting, as the Court is aware, we are here on a prediscovery summary judgment This we all know is a drastic remedy -whenever a Court is faced with this type of application, it's recognized that it deprives -- it's granting the deprived, the non-moving party, of its day in court. If there's any doubt as to the proprietary of the relief requested, it should be denied.

Before I address section 26, I do want to point out that Victoria's Secret has been a tenant in this case for nearly two decades. The cases dealing with frustration and impossibility that the moving party has advanced, they deal with financial difficulty, ups and downs of the economy, things like that.

We moved into this space just after 9/11. were in this space throughout the challenges of the 2008 meltdown. Through all those periods the rent The reason for that is because those were Those were not circumstances not frustrating events. in which our use of the space has been restricted and deprived by law. They are completely different circumstances than what we face here.

Now, with respect to -- turning to section 26,

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this section is entitled inability to perform. deals, Judge, with what happens when the landlord cannot or does not do something that it is required to Some landlord breaches do not result in the excusal of rent, and others do. And right on the first page of the landlord's papers, they point out that -- I don't claim that the landlord didn't do something. That's not what we are talking about. So the invocation of this section is entirely inapplicable.

It seems to be their position that merely because section 26 mentions the possibility of a store closure, that that is something that -- that allocated the risk in some way or another with respect to that which is entirely unforeseeable.

THE COURT: I don't think that that's their position. I think their position is that paragraph 26 says that the obligation to pay rent won't be affected by any state law, is essentially what it is that their position is. It is explicit. And to the extent that Governor Cuomo may have issued some laws, orders that temporarily closed your client's business, that that shouldn't -- as a non-essential business, that that shouldn't affect your client's obligation to pay rent. That's their position in a nutshell.

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12 1 MR. MACK: I understand, your Honor. that this section doesn't deal with what the governor 2 did in this case. THE COURT: I understand. I am just saying to 4 5 the extent that you are characterizing their position, I -- respectfully I think that their position is 6 7 slightly different than the way that you are characterizing it. 8 MR. MACK: That's fine. We don't think the 9 10 section applies for the reasons that I stated. addition, all this lengthy list of items at the bottom 11 12 of 26(i), I'm sure it's not lost on anyone that the word pandemic isn't referenced anywhere --13 It's not the pandemic that caused 14 THE COURT: 15 the temporary closure of your store. It's the governor's order that caused the closure of your 16 store, right? It was the governor's order which said 17 that only essential businesses could be open. 18 was on a temporary basis. That's what caused the 19 temporary closure of your client's store. 20 the pandemic. 21 Your client's store could be opened once we've 22 moved from the non-essential businesses to being open, 2.3 right?

Anthony Armstrong, Official Court Reporter

Well, actually, your Honor, that

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MR. MACK:

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1	brings me to a point I wanted to make today.
2	THE COURT: Was that wrong? It's the
3	Governor's order that was for not only essential
4	central businesses could be opened. That's what
5	caused the closure or temporary closure of your
6	client's store?
7	MR. MACK: That's right, your Honor. And we're
8	still not able to operate the store that we leased.
9	The retail store of this lease is not the one that we
10	are allowed to operate today.
11	THE COURT: All tenants in New York should be
12	allowed not to should be allowed to get out of
13	their rent-paying obligations because of the way that
14	they have to operate is different today is what your
15	argument is?
16	MR. MACK: Yes. We're not allowed to I am
17	looking at the governor's guidelines for non-essential
18	retail business
19	THE COURT: Right. It's not specific, my point
20	is, to Victoria's Secret. He didn't single out
21	Victoria's Secret?
22	MR. MACK: No. There are 35
23	THE COURT: safety concerns that he is
24	trying to address based on science and data. He is
25	trying to make sure that the public is safe in the way

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that they operate. He is being guided and he's enacted certain protocols, right? 2

> That's right, Judge. Right now MR. MACK: there are 35 different mandatory requirements spanning five different categories from the governor's office, one of which is that the workforce and customer presence in a retail store have to be limited to no more than 50 percent maximum occupancy.

> The landlord makes a big deal in their papers about going around taking and taking pictures of other businesses and saying that you could be open if you wanted to, but I cannot be opened -- I am charged a million dollars a month for rent that I cannot use for what I bargained for, Judge.

And the other point about those stores --You didn't bargain for -- their point is in paragraph 26. You didn't bargain for a proportion of reduction of rent if you're only -that's their point. That's exactly the point as it relates to there's no abatement of rent -- the obligation to pay rent shall in nowise be affected, impaired or excused by virtue of any state law. That's exactly their point. If you wanted to do that -- if you thought that -- if there was a change in your ability to use the premise, their point is you

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shouldn't have negotiated that. You didn't.

That section talks about landlord MR. MACK: being unable to fulfill its obligations. That's not what we are talking about. We don't say anywhere that they didn't fulfill an obligation. We say that we have been stripped of the ability to operate in this space that we are now being asked to pay money for.

I point out --

their point in a nutshell.

THE COURT: I don't read section 26 the way that you want me to. I think that this is -- this provision deals with when you are entitled not to pay That's the way I view section 26. I don't rent. agree with your assessment.

Most respectfully, Judge, it talks MR. MACK: about what is excused because landlord is unable to fulfill any of its obligations --

THE COURT: That's one of the things. But it also says or -- it's very broad. The language here is very broad. "Or by any cause whatsoever beyond landlord's control including but not limited to state laws." That's very broad language.

Judge, we set forth in our papers MR. MACK: why we don't think this applies. We don't think this risk has been allocated.

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In a nearly identical case, just before the holidays Judge Feinman of this court issued an opinion wherein the court -- I am reading from International Plaza Associates versus Amorepacific, which is 155158 "Defendant argues that there are factual issues necessary to seek out through discovery, especially due to what if anything is the role played by the COVID 19 pandemic and its resultant government shutdown and the restrictions of retail sales of the goods sold by defendant. Contrary to landlord's claims, COVID could not have been foreseen, and a clause in the lease could not have been designed by defendant. Plaintiff also does not state that it would have agreed to such a clause. It is unlikely that it would have.

"Also, contrary to plaintiff's claim, the defense's loss and at times lack of income due to COVID is not just part of the ups and downs of the commercial lease."

They made an unavoidable delay cause argument in that case. Language was similar. And the Court found that the shutdown of the defendant's shop and the continuing restrictions made it impossible to fulfill the function for which the lease was signed. exactly what position we found ourselves in.

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I would add that in that same opinion the Court recognizes the second wave of COVID 19, which it cannot be foreseen when the situation will return to normal. A good part of this defense requires the defendant to present facts and how it is to conduct its business and to which to do so for a reason.

Never imagined, not alone foreseen by either plaintiff or defendant, these changes and circumstances cannot be shown by legal memoranda or oral arguments. They require discovery.

Does the Court have any further questions for me about section 26?

THE COURT: Nope.

MR. MEISTER: Your Honor, very briefly.

Justice Feinman's decision is one of about 10 decisions that have happened since the onset of the virus. Obviously these are all courts of concordant jurisdiction. I didn't raise these cases. There are at least four cases that go the other way, including a trilogy of cases decided by Justice Bluth and a case by Justice Love.

The lease in the Feinman case was not the same. The landlord was saying that COVID was a casualty in trying to bring it under a pro-landlord casualty clause.

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In any event, I think the more important point is that paragraph 26 -- counsel seems to be hanging a lot on the heading, which is inability to perform.

And there is another section I didn't cite, section 33, which is that classic boilerplate provision that says that the captions are not limiting and they are for the convenience of the parties. And as your Honor pointed out, or at least said, that we were arguing, and we are, that it is a very broad clause. It is not limited to a landlord inability to perform. It has to do with events outside of landlord's control, causing an inability on the part of the tenant to occupy the premises.

And indeed in paragraph (ii) 26, it references if the tenant shall not be able to operate its store at the premises. We think it's quite clear that this clause is broad. It covers all events outside of the landlord's control. It references orders of the government, which certainly Governor Cuomo's order would qualify under. And I do want to point out the business shutdown was for 97 days from March 16 to June 22nd.

This is not an abatement case. They are looking to annul a lease --

THE COURT: I understand. I think there are

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1	one, two, three, four, five, six causes of action,
2	right?
3	MR. MEISTER: Correct.
4	THE COURT: The first one is for recision by
5	frustration of purpose. The second one is recision
6	due to impossibility. The third one is for the
7	fourth one is for breach of the lease. The fifth one
8	deals with prepayment of rent. And the last one is
9	the unjust enrichment claim.
10	The underpinning of all of this is, are there
11	what happens as it relates to the enactment of
12	Governor Cuomo's orders.
13	MR. MEISTER: Right. I do want to address
14	briefly. I did not in my intentionally in my
15	initial presentation. We did and counsel
16	referenced this. We did supply an affidavit by Mr.
17	Koh. He went, I think it was in August, to the Herald
18	Square area, Urban Outfitters, H&M, and Macy's
19	THE COURT: Your screen is freezing. We have
20	lost you, Mr. Meister.
21	MR. KOH: Your Honor, Mr. Meister is dialing in
22	on another device.
23	THE COURT: He is back.
24	MR. MEISTER: Your Honor, sorry about that.
25	THE COURT: It's okay. Let me tell you where

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we lost you. I don't think you need me to reach what you are about to get to.

Your view is that I need not look outside the four corners of the lease. You were about to tell me you supplied an affidavit of Mr. Koh who visited... And then you froze.

MR. MEISTER: Sorry about that.

THE COURT: You don't need to apologize.

He visited several stores in the MR. MEISTER: immediate vicinity of the store in question near Macy's, including H&M and Urban Outfitters. But he also went to the Victoria's Secret store at 125th Street. And this is the point I -- which was open and he bought some merchandise there.

I understand Mr. Mack's concern, and frankly I am sympathetic to it. It's much harder to run a profitable store with these rules, but that's exactly the point is that the pressure on profits is not an excuse here. Especially in light of 26(i). But even in the absence of 26, the pressure on profits is not a sufficient basis under the common law doctrine.

We think even on our backup position, if you will, the motion should be granted. We just see the clause as dispositive and the Governor's orders as not following June 22nd as not qualifying under the

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21 common law doctrines for either impossibility or 1 frustration. 2 3 THE COURT: I understand what you are saying. I really got it. 4 5 MR. MEISTER: I understand. Thank you, your 6 Honor. 7 THE COURT: Mr. Mack, is there anything else you want to add? 8 9 MR. MACK: Yes. I want to respond to a couple 10 of things. Counsel suggested that our emphasis on the title 11 12 of section 26 is somehow meaningful. I want to state it's not the case. I'm simply pointing out that if 13 you look in this section at -- where it says laws, 14 governmental preemption, that applies if landlord is 15 16 prevented or delayed --17 THE COURT: No. I'm sorry. Look, you are not clarifying something for me at this point. I am very 18 clear. I have a very different reading of paragraph 19 26 than you are suggesting. It deals with the -- the 20 lead in is when rent is abated, when rent is otherwise 21 not due. I just don't read paragraph 26 the way that 22 2.3 you want me to. The complaint is dismissed. Let me just cut to 24 25 it.

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1	I will be uploading an order in about 10 minutes
2	stating forth the reason.
3	The complaint is dismissed.
4	We're going to go off the record now and we are
5	going to discuss where we are on the counterclaims.
6	Thank you very much.
7	Thank you, Mr. Armstrong.
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9	(Whereupon, the proceedings
10	concluded.)
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23 1 CERTIFICATE 2 I, ANTHONY ARMSTRONG, a Senior Court Reporter, 3 do hereby certify that the foregoing is a true 4 and accurate transcript of the testimony as taken 5 stenographically by and before me at the time, place and 6 on the date hereinbefore set forth. 7 I DO FURTHER CERTIFY that I am neither a relative 8 nor employee nor attorney nor counsel of any of the parties 9 to this action, and that I am neither a relative nor 10 employee of such attorney or counsel, and that I am not 11 12 financially interested in this action. 13 14 (Not certified unless signed in blue ink.) 15 16 17 Contrepenties 18 19 20 Anthony Armstrong, CSR Official Court Reporter 21 22 2.3 24 25

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