Join us for a **FREE** lunch seminar and enter to win a $50 gift card!!

401k ADVISORS

What you will learn

- What the DOL generally looks for in an audit.
- Fiduciary best practices.
- Behavioral Finance: How to increase the number of your people who may retire on time and on budget.

Earn continuing education credits!
Plan sponsors only please.
Space is limited

Wednesday, November 13, 2013

12:00 – 2:00pm @ Offices of Wright Ford Young
16140 Sand Canyon Ave| Irvine, CA 92618

Get Directions

REGISTER TODAY!
rsvp@401kadvisors.com
Or call 800.959.0071
This event is FREE

Seminar Description:

Based on input obtained directly from the Department of Labor (DOL), this presentation will define some of the common mechanisms used to determine which plans are selected for inspection or audit. Plan sponsors will learn methods to reduce risk of DOL inspection or audit of the plan(s) for which they have fiduciary responsibility and/or oversight. In addition, attendees will have the opportunity to listen to an ERISA attorney discuss Fiduciary Best Practices and answer questions and hear how to integrate Behavioral Finance into your 401(k) Plan.

Agenda

12:00-12:30	Lunch & Registration
12:30 – 1:00	Scott Young, Wright Ford Young & Co
1:00 – 1:30	Mark Jones, Pillsbury Winthrop Shaw Pittman LLP
1:30 – 2:00	Kyle Posvistak, 401(k) Advisors

Earn CE Credits:

plan design | benchmarking | investment advice | fiduciary compliance | participant outcomes

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