Pillsbury’s nationally ranked Corporate Investigations & White Collar Defense practice successfully represents U.S. and international clients in large-scale and cross-border government inquiries and civil and criminal litigation.

Pillsbury’s Corporate Investigations & White Collar Defense lawyers are leaders in defending corporate clients, their officers, board members and executives in federal and state grand jury investigations, state attorney general investigations, regulatory enforcement proceedings, and civil and criminal forfeiture proceedings.

Our team offers wide-ranging experience coupled with practical criminal defense skills to handle complicated criminal and regulatory investigations and enforcement matters effectively and efficiently. Our lawyers, many of whom bring experience as former federal prosecutors, work with government lawyers to resolve potential criminal liabilities; coordinate with foreign counsel and forensic accountants to address complex issues of data privacy and security and bank secrecy; and assist with disclosures to government agencies. Employing this type of integrated response, we negotiated numerous nonprosecution agreements between Swiss financial institutions and U.S. tax prosecutors, enabling our clients to avoid criminal prosecution and potentially billions
of dollars in exposure under U.S. tax laws. Beyond defense work, we also provide strategic guidance to corporations and individuals on ways to avoid potential criminal liability, and help clients create, implement and audit corporate compliance programs.

Pillsbury is highly regarded for its success in defending criminal and regulatory enforcement actions and representing clients in trials brought by or with governmental agencies, including the U.S. Department of Justice, U.S. Attorney’s Offices, the Federal Trade Commission, the SEC, the Federal Reserve Bank, the Bureau of Alcohol, Tobacco & Firearms, the Department of Defense, the Food and Drug Administration, federal and state environmental agencies, and state attorneys general. Additionally, we have extensive experience in advising on and assisting clients with:

- Internal Investigations into Alleged Misconduct
- Grand Jury and Regulatory Proceedings
- Securities Enforcement and Compliance
- Global Anti-Corruption and Foreign Corrupt Practices Act Issues, Compliance and Investigations
- Anti-Money Laundering, Tax and Financial Fraud Investigations
- Bribery, Corruption and Whistleblower Allegations
- False Claims Act Defense
- Criminal Antitrust & Cartel Investigations
- Civil RICO and Financial Fraud Actions

We also serve as independent counsel for audit and special board committees and assist clients in establishing and maintaining compliance programs mandated by the Federal Sentencing Guidelines.

**REPRESENTATIVE EXPERIENCE**

- Represented multiple Swiss banks in IRS- and DOJ-led program in which we conducted a comprehensive internal investigation at each bank to identify potential U.S. taxpayer accounts and possible U.S. tax law violations.

- Represented a former Central American FIFA soccer officer in a widely publicized international DOJ investigation involving cross-border money laundering and fraud allegations related to World Cup soccer matches.

- Represented a multinational engineering services and consulting company in parallel investigations by DOJ and the World Bank involving allegations that former employees violated the Foreign Corrupt Practices Act.

- **Anti-Corruption Investigations**
Defended former top executive of Hungary’s largest telecommunications provider against allegations of DOJ/SEC FCPA violations and bribery of government and political officials to win business, shut out competition and gain regulatory benefits.

Represented a petroleum and natural gas engineering company in a high-profile parallel SEC/DOJ Foreign Corrupt Practices Act investigation, persuading both agencies to decline criminal and civil enforcement actions.

Represented a publicly traded industrial materials company in an internal investigation involving allegations of potential violations of the FCPA in Eastern Europe and the former Soviet Union.

Represented a major U.S. aerospace company in connection with internal investigations of possible FCPA violations in China, Greece, Spain, Argentina, Saudi Arabia and Indonesia.

Represented an international engineering company in an internal investigation into allegations of bribery of Saudi Arabian officials in connection with the sale of wastewater treatment equipment.

**Anti-Money Laundering, Trade Sanctions and Financial Fraud Investigations**

- Represented a major international financial institution in an investigation of alleged violations of Iran trade sanctions.

- Represented a major international financial institution in a money laundering investigation involving correspondent bank accounts of an offshore bank entity.

- Represented a major international financial institution in DOJ forfeiture action involving correspondent bank accounts.

- Represented a prominent multinational bank in parallel investigations of alleged terrorist financing and money laundering by the DOJ and Office of the Comptroller of the Currency.

- Acted for a money/check processor in connection with a DOJ investigation of money laundering and online gambling.

- Represented a former employee of a money processor in connection with a DOJ investigation into international money laundering allegedly involving operations in Panama, the United States, the UK and India.

**Criminal Antitrust & Cartel Investigations**

- Represented a major international electronics manufacturer in parallel criminal antitrust investigations by the DOJ, EU, Japan and Canada, as well as multidistrict litigation alleging an industry-wide cartel to fix prices of TFT-LCD panels.

- Represented an international financial institution in multiple criminal and civil antitrust investigations into municipal securities derivatives and in multidistrict litigation alleging several banks and their executives manipulated the bidding process.
Represented a Japanese auto parts manufacturer and executives of another Japanese manufacturer in various probes into allegations of anti-competitive practices in the auto parts industry by regulators in both the U.S. and Europe.

Acted for a number of officers and directors of a Scandinavian mining company in a criminal price-fixing investigation into the rock crushing and screening equipment industry.

**False Claims Act and Qui Tam**
- Defending a global fitting manufacturer in a qui tam action filed by a self-styled customs expert involving allegations of customs fraud. The case involves ground breaking qui tam jurisdictional and customs issues.
- Successfully represented a Japanese trading company in federal and California False Claims Act cases involving the sale and distribution of allegedly bulletproof vests to law enforcement agencies funded with federal dollars.
- In the first FCA case brought relating to risk adjustment reporting, defended a Florida-based Medicare Advantage Plan alleged to have falsely increased the severity of diagnoses to obtain higher payments.
- Successfully defeated a state and federal FCA case alleging an office furniture manufacturer sold equipment to government entities at prices that were falsely claimed to be below the prices charged to non-government customers.
- Defended a major international transportation company against allegations Amtrak had been sold defective environmental systems. In a precedent-setting opinion, the District of Columbia Circuit Court of Appeals dismissed these claims.

**PRACTICE AREA HIGHLIGHTS**

- For the third consecutive year, *Global Investigations Review* has included Pillsbury on its GIR 100 list. This elite list recognizes the leading cross-border investigations and white collar practices in the world, based on extensive marketplace research.
- *The Legal 500 U.S.* ranked Pillsbury’s national White Collar Defense practice as among the nation’s top practices.
- *The American Lawyer* named Pillsbury as among the elite law firms recognized for the “Global Dispute of the Year” in the area of Investigations for Swiss banks DOJ investigation and program representation.