
2012 Fourth Quarter Issues/Programs List Advisory for Broadcast Stations

By Scott R. Flick

*The next Quarterly Issues/Programs List (“Quarterly List”) must be placed in stations’ public inspection files by **January 10, 2013**, reflecting information for the months of October, November, and December 2012.*

Content of the Quarterly List

The FCC requires each broadcast station to air a reasonable amount of programming responsive to significant community needs, issues, and problems as determined by the station. The FCC gives each station the discretion to determine which issues facing the community served by the station are the most significant and how best to respond to them in the station’s overall programming.

To demonstrate a station’s compliance with this public interest obligation, the FCC requires the station to maintain and place in the public inspection file a Quarterly List reflecting the “station’s most significant programming treatment of community issues during the preceding three month period.” By its use of the term “most significant,” the FCC has noted that stations are not required to list all responsive programming, but only that programming which provided the most significant treatment of the issues identified.

Given that program logs are no longer mandated by the FCC, the Quarterly Lists may be the most important evidence of a station’s compliance with its public service obligations. The lists also provide important support for the certification of Class A station compliance discussed below. We therefore urge stations not to “skimp” on the Quarterly Lists, and to err on the side of over-inclusiveness. Otherwise, stations risk a determination by the FCC that they did not adequately serve the public interest during the license term. Stations should include in the Quarterly Lists as much issue-responsive programming as they feel is necessary to demonstrate fully their responsiveness to community needs. Taking extra time now to provide a thorough Quarterly List will help reduce risk at license renewal time.

It should be noted that the FCC has repeatedly emphasized the importance of the Quarterly Lists and often brings enforcement actions against stations that do not have fully complete Quarterly Lists or that do not timely place such lists in their public inspection file.

Preparation of the Quarterly List

The Quarterly Lists are required to be placed in the public inspection file by January 10, April 10, July 10, and October 10 of each year. The next Quarterly List is required to be placed in stations' public inspection files by **January 10, 2013**, covering the period from October 1, 2012 through December 31, 2012. All TV stations must post their Quarterly Lists to their online public inspection files at <https://stations.fcc.gov>.

Stations should keep the following in mind:

- The Quarterly List will be very important evidence in the station's defense if the station's license renewal is contested, so each station should approach the preparation process with care.
- Stations should maintain routine outreach to the community to learn of various groups' perceptions of community issues, problems, and needs. Stations should document the contacts they make and the information they learn. Letters to the station regarding community issues should be made a part of the station's database.
- There should be some station procedure to organize the information that is gathered and bring it to the attention of programming staff with a view towards producing and airing programming that is responsive to significant community issues. This procedure and its results should be documented.
- Stations should ensure that there is some correlation between the station's contacts with the community, including letters received from the public, and the issues they have identified in their Quarterly Lists. A station should not overlook significant issues. In a contested license renewal proceeding, while the station may consider what other stations in the market are doing, each station will have the burden of persuading the FCC that it acted "reasonably" in deciding which issues to address and how.
- Stations should not specify an issue for which no programming is identified. Conversely, stations should not list programs for which no issue is specified.
- Under its former rules in this area, the FCC required a station to list five to ten issues per Quarterly List. While that specific rule has been eliminated, the FCC has noted that such an amount will likely demonstrate compliance with the station's issue-responsive programming obligation. However, the FCC has noted that some licensees may choose to concentrate on fewer than five issues if they cover them in considerable depth in any given quarter. Conversely, the FCC has noted that other broadcasters may address more than ten issues in a given quarter, due perhaps to program length, format, etc.
- The Quarterly Lists should reflect a wide variety of significant issues. For example, five issues affecting the Washington DC community might be: (1) the fight over statehood for the District of Columbia; (2) fire code violations in DC school buildings; (3) clean-up of the Anacostia River; (4) reforms in the DC Police Department; and (5) debate over needle exchange programs for IV drug users. The issues should change over time, reflecting the station's ongoing ascertainment of changing community needs and concerns.
- Accurate and complete records of which programs were used to discuss or treat which issues should be preserved so that the job of constructing the Quarterly List is made easier. The data retained should help the station identify the programs that represented the "most significant treatment" of issues, e.g., duration, depth of presentation, frequency of broadcast, etc.
- The listing of "most significant programming treatment" should demonstrate a wide variety in terms of format, duration (long-form and short-form programming), source (locally produced is presumptively the

best), time of day (times of day when the programming is likely to be effective), and days of the week. Stations should not overlook syndicated and network programming as ways to address issues.

- Stations should prepare each Quarterly List in time for it to be placed in their public inspection file on or before the due date. If the deadline is not met, stations should give the true date when the document was placed in the public inspection file and explain its lateness. Stations should avoid creating the appearance that a document was timely placed in the public inspection file when it was not.
- Stations should show that their programming commitment covers all three months within each quarter.

These are just some suggestions that can assist stations in meeting their obligations under the FCC's rules. The requirement to list programs providing the most significant treatment of issues may persuade a station to review whether its programming truly and adequately educates the public about community concerns.

Attached is a sample format for a "Quarterly Issues/Programs List" to assist stations in filling out the Quarterly List. Please do not hesitate to contact the lawyers in the Communications Practice Section for specific advice on how to ensure the adequacy of your efforts in this area.

Class A Television Stations Only

Class A television stations must certify that they continue to meet the FCC's eligibility and service requirements for Class A television status under Section 73.6001 of the FCC's Rules. While the relevant subsection of the public inspection file rule, Section 73.3526(e)(17), does not specifically state when this certification should be prepared and placed in the public inspection file, we believe that since Section 73.6001 assesses compliance on a quarterly basis, the prudent course for Class A television stations is to place the Class A certification in the public inspection file on a quarterly basis as well.

New Filing Requirements for Television Stations

The FCC has proposed several new filing requirements for broadcast stations, including a proposal for a new form that will replace television stations' Quarterly Issues/Programs List. The FCC previously adopted a new form, FCC Form 355, to replace the Quarterly Lists for television stations, but that form was later rescinded before becoming effective. The FCC issued a Notice of Inquiry in December 2011 proposing a new FCC form to replace the current issues/programs list. This form would require that television broadcasters document specific details regarding their public interest service, including information regarding local news, local governmental affairs, local electoral affairs, and emergency accessibility complaints. The comment deadlines in that proceeding were in January 2012. Because the FCC must issue a Notice of Proposed Rulemaking prior to implementing any new rules, it may be some time until any new reporting form will become effective. Nevertheless, television broadcasters should be alert to a future announcement regarding the effective and due dates of any new FCC form for this purpose.

If you have any questions about the content of this Advisory, please contact the Pillsbury attorney with whom you regularly work, or the author of this Advisory.

Scott R. Flick ([bio](#))
Washington, DC
+1.202.663.8167
scott.flick@pillsburylaw.com

This publication is issued periodically to keep Pillsbury Winthrop Shaw Pittman LLP clients and other interested parties informed of current legal developments that may affect or otherwise be of interest to them. The comments contained herein do not constitute legal opinion and should not be regarded as a substitute for legal advice.

© 2012 Pillsbury Winthrop Shaw Pittman LLP. All Rights Reserved.

Sample Quarterly Issues/Programs List¹

Below is a list of some of the significant issues responded to by Station [call sign], [community of license], [state of license], along with the most significant programming treatment of those issues for the period [date] to [date]. This list is by no means exhaustive. The order in which the issues appear does not reflect any priority or significance.

| Description of Issue | Program/Segment | Date | Time | Duration | Narration of Type and Description of Program/Segment |
|-----------------------------------------------------------|----------------------------------------------|-------------------|----------------------|------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| (1) The fight over statehood for the District of Columbia | "DC This Week" | June 7 | 12:00 pm | 30 min. | Roundtable discussion with Derek Maginty, DC commentator for WAMU (FM), Mark Plotkin, host of "DC Politics Hour," WAMU (FM), and Eleanor Holmes Norton, DC Delegate to Congress on various proposals rumored to exist to grant statehood to the District of Columbia |
| (2) The fight over statehood for the District of Columbia | Special news coverage of press conference | June 8 | 10:00 am | 15 min. | Station interrupted its regularly scheduled programming to cover the press conference called by Representative Tom Davis, Chair of the Subcommittee on the District of Columbia, on his proposal to grant statehood to the District of Columbia |
| (3) The fight over statehood for the District of Columbia | 11 O'clock News | June 8 | 11:00 pm | 2 min. | Interview with D.C. City Council members and members of the business community regarding reaction to Davis' proposal for statehood |
| (4) The fight over statehood for the District of Columbia | News feature following noon and 6:00 pm news | June 8 to June 12 | 12:25 pm and 6:25 pm | 5 min. each part | Examination of the history of the creation of the District of Columbia as the seat of the federal government; comparison of the District's services provided to residents versus services provided to residents of states by state governments; examination of issues arising under the U.S. Constitution relating to taxation without representation; survey of reaction of several governors in the mid-Atlantic region to the proposal |

¹ The above sample illustrates the treatment of one issue only.